



Instructions for Gifting of Securities

The easiest way to gift securities (stocks, bonds, mutual funds) to Rockport Music is to have your broker transfer the securities directly to the Rockport Music Account at Wernau Asset Management.

The name of our Account Executive is:

Peter Wernau, CEO
Wernau Asset Management
One Gateway Center, Suite 512
Newton, MA 02458

Phone: 617-871-0029

Fax: 617-507-8155

pwernau@wernauassetmanagement.com

The Depository Transfer Corporation (DTC) clearing number is 226; and Rockport Music's account number is 649685372. Rockport Music's Federal Tax I.D. number is 22-2479696.

When you are making an electronic transfer of securities, please be certain that you notify Mr. Wernau and Kathy Urner-Jones (978-546-7391 x132), in the Rockport Music Development Office, so that we will be alerted to the fact that certain securities are being directed to our account. This will allow Rockport Music to know to expect the securities to arrive in its account, to know the source of the gift, and to be able to provide timely acknowledgment to our donors.

If you, or your broker, have questions about the electronic transfer of a gift of stock, please contact Mr. Wernau at the number listed above.

For the purposes of calculating your tax deduction, the gift is considered to have been made on the date when the stock was (a) transferred electronically, (b) signed and physically handed to our account executive, or (c) postmarked if mailed to our account executive.

If you have any further questions, please contact:

Kathy Urner-Jones, Senior Development Officer, Rockport Music
37 Main Street, Rockport, MA 01966
978-546-7391 x132
kurnerjones@rockportmusic.org

June 13, 2018